

**MINUTES OF MEETING
THE ANNUAL GENERAL MEETING OF SHAREHOLDERS
PHU HUNG SECURITIES CORPORATION**

- Company name: : Phu Hung Securities Corporation (PHS)
- Address: : 3rd Floor, CR3-03A, 109 Ton Dat Tien, Tan Phu Ward, District 7, Ho Chi Minh City.
- Business license: : 122/GP-UBCK issued by State Securities Committee dated 20/01/2016;
- Time : Commencing at 02:00 P.M. on 17th April 2019
Ending at 03:40 P.M on 17th April 2019
- Venue : 05th Floor, CR3-05A, 109 Ton Dat Tien, Tan Phu Ward, District 7, Ho Chi Minh City.
- Agenda : According to the Agenda of the Annual General Meeting of Shareholders dated 17th April 2019 which was noticed to all shareholders.
- Chairman of the Meeting : Mr. Chen Chia Ken
- Meeting Secretariat : Ms. Le Thi Thuy Duong – Chief of Meeting Secretariat
- Scrutiny team: : Ms. Phan Thi Xiem Thanh – Chief of scrutiny team
Ms. Nguyen Thi Thanh Thuy – Member
Ms. Pham Thi Bich Thuy – Member
- Attendees: : The attending shareholders and its authorized people are 11 shareholders; representing 67,233,309 shares; taking 96.0483% of the total voting shares. The meeting thereby convened in validation as per Law on Enterprise and Charter of Phu Hung Securities Corporation.

I. MEETING OPENING PROCEDURE

1. Shareholders register attendances to receive documents, voting papers, voting cards.
2. Ms. Le Thi Thuy Duong reads the report on Shareholder status verification.
3. Ms. Chieu Nha Thy introduces the participants in the meeting.
 - ◆ Presidium:
 - Mr. Chen Chia Ken – General Director – Chairman of the Meeting.
 - ◆ Meeting Secretariat:
 - Ms. Le Thi Thuy Duong – Chief of Meeting Secretariat
 - ◆ Scrutiny team:
 - Ms. Phan Thi Xiem Thanh – Chief of scrutiny team
 - Ms. Nguyen Thi Thanh Thuy – Member of scrutiny team
 - Ms. Pham Thi Bich Thuy – Member of scrutiny team

Shareholders votes for passing members of Presidium, Meeting Secretariat, Scrutiny team with 100% of “agree” ratio.

The Chairman, Secretariat, and Scrutiny team start proceeding the Meeting.

4. Chairman declares Agenda of the meeting.
5. Ms. Chieu Nha Thy reads the Voting guidelines.
6. Shareholders votes for passing Agenda, Voting guidelines and Voting method. Voting has 100% of “agree” ratio.

II. CONFERENCE AND VOTING

1. REPORT

Board of Directors would like to report to Shareholders on the result on capital injection up to VND 700,000,000,000 (seven hundred billion Vietnam dong) as follows:

- 1.1 As approved by Extraordinary General Meeting of Shareholders (“EGMS”) dated 04th October 2018, the dossier of private share issue and dossier of capital injection were submitted to State Securities Commission (“SSC”).
- 1.2 On 29th November 2018, SSC accepted the dossier of private share issuance of PHS.
- 1.3 On 26th December 2018, SSC issued the revised license which updated the new charter capital of PHS being VND 700,000,000,000 (Seven hundred billion Vietnam Dong).

2. VOTING

Subject 1. Discuss and pass audited Financial statement of 2018

The Board of Directors submits Shareholders to pass the audited Financial Statements of 2018 as attachment.

Shareholders vote to pass with the ratio as below:

Vote result	Number of shares (shares)	Percentage
Agree	67,233,309	100%
Disagree		
Abstain		

Subject 2. Discuss and pass the Report of the Board of Directors on the Business performance in 2018

The Board of Directors submits Shareholders to pass the Report of the Board of Directors on the Business performance in 2018 as attachment.

Shareholders vote to pass with the ratio as below:

Vote result	Number of shares (shares)	Percentage
Agree	67,233,309	100%
Disagree		
Abstain		

Subject 3. Discuss and pass the Report of the Inspection Committee on the Business performance in 2018

The Board of Directors submits Shareholders to pass the Report of the Inspection Committee on the Business performance in 2018 as attachment.

Shareholders vote to pass with the ratio as below:

Vote result	Number of shares (shares)	Percentage
Agree	67,233,309	100%
Disagree		
Abstain		

Subject 4. Discuss and pass the Report on Corporate governance of PHS of 2018

The Board of Directors submits Shareholders to pass the Report on Corporate governance of PHS of 2018 as attachment.

Shareholders vote to pass with the ratio below:

Vote result	Number of shares	Percentage
Agree	67,233,309	100%
Disagree		

Vote result	Number of shares	Percentage
Abstain		

Subject 5. Discuss and pass the Dividend payment of 2018 and the authorization for BOD to choose the suitable time and proceed the procedure to pay dividend for shareholders

The Board of Directors submits Shareholders to pass the Dividend payment of 2018 by cash as attachment with the following details:

- Profit after tax: VND 37,449,983,130
- Undistributed accumulated profit up to 31/12/2018: VND 45,864,919,566
- Pay Dividend 2018 by cash (3.3% par value) VND 23,099,838,300

The Board of Directors submits Shareholders to pass the authorization for BOD to choose the suitable time and proceed the procedure to pay dividend for shareholders.

Shareholders vote to pass with the ratio below:

Vote result	Number of shares	Percentage
Agree	67,233,309	100%
Disagree		
Abstain		

Subject 6. Discuss and pass the Development and Business plan of the Company in 2019

The Board of Directors submits Shareholders to pass the Development and Business plan of the company in 2019 as attachment.

Shareholders vote to pass with the ratio below:

Vote result	Number of shares	Percentage
Agree	67,233,309	100%
Disagree		
Abstain		

Subject 7. Discuss and pass the Financial budget in 2019

The Board of Directors submits Shareholders to pass Financial budget in 2019 as attachment.

Shareholders vote to pass with the ratio below:

Vote result	Number of shares	Percentage
Agree	67,233,309	100%
Disagree		
Abstain		

Subject 8. Discuss and pass the selection of Auditor in 2019

The Board of Directors submits Shareholders to pass the selection of KPMG Viet Nam Co., Ltd to be Auditor in 2019 as attachment.

Shareholders vote to pass with the ratio below:

Vote result	Number of shares	Percentage
Agree	67,233,309	100%
Disagree		
Abstain		

Subject 9. Discuss and pass the revised Charter of PHS

The Board of Directors submits Shareholders to pass the amendment of PHS's Charter as attachment.

Shareholders vote to pass with the ratio below:

Vote result	Number of shares	Percentage
Agree	67,233,309	100%
Disagree		
Abstain		

Subject 10. Discuss and pass the additional appointment of General Director to be the legal representative of the Company and the authorization for BOD to do assignments of the appointment of the legal representative

The Board of Directors submits Shareholders to pass the additional appointment of General Director to be the legal representative of the Company and the authorization for BOD to do assignments of appointment of the legal representative as attachment.

Shareholders vote to pass with the ratio below:

Vote result	Number of shares	Percentage
Agree	67,233,309	100%
Disagree		
Abstain		

Subject 11. Discuss and pass the establishment of the representative office of the Company in Myanmar (Type of enterprise in Myanmar: overseas corporation) and the authorization for BOD to implement the necessary procedures in accordance with the law

The Board of Directors submits Shareholders to pass the establishment of the representative office of the Company in Myanmar (Type of enterprise in Myanmar: overseas corporation) and the authorization for BOD to implement the necessary procedures in accordance with the law as attachment.

Shareholders vote to pass with the ratio below:

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Vote result	Number of shares	Percentage
Agree	67,233,309	100%
Disagree		
Abstain		

Subject 12. Discuss and pass the Report of BOD on the re-appointment of Mr. Chen Chia Ken to be General Director of the Company during the period from 2019 - 2022

The Board of Directors submits Shareholders to pass the Report of BOD on the re-appointment of Mr. Chen Chia Ken to be General Director of the Company during the period from 2019 – 2022 as attachment.

Shareholders vote to pass with the ratio below:

Vote result	Number of shares	Percentage
Agree	67,233,309	100%
Disagree		
Abstain		

Subject 13. Discuss and pass the Audited report on capital utilization of private placement in 2017 of the Company

The Board of Directors submits Shareholders to pass the Audited report on capital utilization of private placement in 2017 of the Company as attachment.

Shareholders vote to pass with the ratio below:

Vote result	Number of shares	Percentage
Agree	67,233,309	100%
Disagree		
Abstain		

Subject 14. Discuss and pass the listing of stock PHS on Ho Chi Minh Stock Exchange (HOSE) and pass the authorization for BOD to do assignments of listing shares on HOSE

The Board of Directors submits Shareholders to pass the listing of stock PHS on Ho Chi Minh Stock Exchange (HOSE) and pass the authorization for BOD to do assignments of listing shares on HOSE as attachment.

Shareholders vote to pass with the ratio below:

Vote result	Number of shares	Percentage
Agree	67,233,309	100%
Disagree		
Abstain		

Subject 15. Discuss and elect members of BOD of the Company during the period from 2019 – 2022

The Board of Directors submits Shareholders to elect members of BOD of the Company during the period from 2019 – 2022 as attachment. Members of BOD are nominated as follows:

1. Mr. Albert Kwang-Chin Ting: Member of the Board of Directors
 - Agreed votes: 67,233,309 shares
 - Equivalent to 100% attendance voting shares
2. Mr. Nguyen Doan Hung: Member of the Board of Directors
 - Agreed votes: 67,233,309 shares
 - Equivalent to 100% attendance voting shares
3. Mr. Wu, Jin-Jeng: Member of the Board of Directors
 - Agreed votes: 67,233,309 shares
 - Equivalent to 100% attendance voting shares
4. Mr. Chen Chia Ken: Member of the Board of Directors
 - Agreed votes: 67,233,309 shares
 - Equivalent to 100% attendance voting shares
5. Ms. Liu, Hsiu-Mei: Independent member of the Board of Directors
 - Agreed votes: 67,233,309 shares
 - Equivalent to 100% attendance voting shares

Subject 16. Discuss and elect members of Inspection Committee of the Company during the period from 2019 – 2022

The Board of Directors submits Shareholders to elect members of Inspection Committee during the period from 2019 – 2022 of the Company as attachment. Members of Inspection Committee are nominated as follows:

1. Mr. Liew Sep Siang: Member of Inspection Committee
 - Agreed votes: 67,233,309 shares
 - Equivalent to 100% attendance voting shares
2. Mr. Lii, San-Rong: Member of Inspection Committee
 - Agreed votes: 67,233,309 shares
 - Equivalent to 100% attendance voting shares
3. Mr. Chiu, Hsien-Chih: Member of Inspection Committee
 - Agreed votes: 67,233,309 shares
 - Equivalent to 100% attendance voting shares

III. MEETING CLOSURE

Ms. Le Thi Thuy Duong reads the Minutes of Meeting and the Resolution of the Annual General Meeting of Shareholder.

The Minutes of Meeting and the Resolution are passed with the ratio below:

Vote result	Number of shares	Percentage
Agree	67,233,309	100%
Disagree		
Abstain		

MEETING SECRETARIAT



Ms. LE THI THUY DUONG

CHAIRMAN OF THE MEETING



Mr. CHEN CHIA KEN

Enclosure:

1.	Submission on the result capital injection up to VND 700,000,000,000 (seven hundred billion Vietnam dong); (Enclosure: 1.1 Official Letter No. 7917/UBCK-QLKD of State Securities Commission dated 29 th November 2018 on acceptance of SSC on PHS' application for private placement); 1.2 License No. 107/GPĐC-UBCK dated 26 th December 2018 updating the charter capital up to VND 700,000,000,000)
2.	Submission on the Audited Financial Statements of 2018; (Enclosure: The Audited Financial Statements of 2018)
3.	Submission on the Report of the Board of Directors on the Business performance in 2018; (Enclosure: Report of the Board of Directors on the Business performance in 2018)
4.	Submission on the Report of the Inspection Committee on the Business performance in 2018; (Enclosure: Report of the Inspection Committee on the Business performance in 2018)
5.	Submission on the Report on Corporate governance of PHS in 2018; (Enclosure: the Report on Corporate governance of PHS in 2018)
6.	Submission on the Dividend payment of 2018;

7.	Submission on the Development and Business plan of the Company in 2019; (Enclosure: Development and Business plan of the Company in 2019)
8.	Submission on the Financial budget in 2019; (Enclosure: Financial budget in 2019)
9.	Submission on the selection of Auditor in 2019;
10.	Submission on the revised Charter of PHS; (Enclosure: Comparison table between the current Charter and revised Charter of PHS)
11.	Submission on the additional appointment General Director to be the legal representative of the Company and the authorization for BOD to do assignments of appointment of the legal representative;
12.	Submission on the establishment of the representative office of the Company in Myanmar (Type of enterprise in Myanmar: overseas corporation) and the authorization for BOD to implement the necessary procedures in accordance with the law; (Enclosure: Plan on establishment of the representative office of the Company in Myanmar (Type of enterprise in Myanmar: overseas corporation))
13.	Submission on the Report of BOD on the re-appointment of Mr. Chen Chia Ken to be General Director of the Company during the period from 2019 – 2022;
14.	Submission on the Audited report on capital utilization of private placement in 2017 of the Company; (Enclosure: Audited report on capital utilization of private placement in 2017 of the Company)
15.	Submission on listing of stock PHS on Ho Chi Minh Stock Exchange (HOSE) and the authorization for BOD to do assignments of listing shares on HOSE;
16.	Submission on the introduction of nominated candidates for members of BOD of the Company during the period from 2019 – 2022; (Enclosure: CVs of nominated candidates for members of BOD)
17.	Submission on the introduction of nominated candidates for members of Inspection Committee of the Company during the period from 2019 – 2022. (Enclosure: CVs of nominated candidates for members of Inspection Committee)



